HSCEP OP: 70.32, Family and Medical Leave (FML)

PURPOSE: The purpose of this Health Sciences Center Operating Policy and Procedure (HSCEP OP) is to establish the Texas Tech University Health Sciences Center (TTUHSCES) policy for administering the provisions of the Family and Medical Leave Act (FMLA) of 1993 (amended 2008) in compliance with Department of Labor guidelines pertaining to Family & Medical Leave (FML).

REVIEW: This TTUHSCES OP will be reviewed on June 15 of each odd-numbered year (ONY) by the Executive Director of Human Resources, with recommendations for revisions submitted to the Chief Financial Officer by July 1.

Policy: It is the policy of TTUHSCES to provide its employees with FMLA benefits and protections in compliance with regulations of the U.S. Department of Labor (DOL) and with Texas Government Code.

To apply for FMLA Leave an employee or representative may apply online (Click here for online form) or contact TTUHSCES Human Resource Leave Administrator by email at ELPHRleaveadmin@ttuhsc.edu

POLICY/PROCEDURE:

1. Definitions
   
a. The FMLA entitles eligible employees of TTUHSCES to take 12 workweeks (26 workweeks for covered military service member) unpaid, job protected leave for specified family and medical reasons with continuation of group health insurance coverage under the same terms and conditions as if the employee had not taken leave.

b. The State is the State of Texas.

c. TTUHSCES as a State agency is a covered employer for FMLA Leave.

d. Eligible employee is an employee who has at least 12 months qualified employment with the State (months do not have to be consecutive) and who has worked at least 1,250 hours (excluding unpaid or paid leave time) with the State in the 12 months preceding the onset of FMLA Leave.

e. Eligible family member is the eligible employee’s:
   
   1) Spouse: the husband or wife as recognized by the state where the employee was married and individuals in a same-sex or common law marriage.

   2) Son or Daughter: a biological, adopted, foster child, stepchild, a legal ward, or a child of a person standing in loco parentis (one who cares for the child in place of the parent) who is under the age of 18; or 18 or older if the son or daughter is a qualified military service member or if he or she is incapable of self-care because of a physical or mental disability (reference ADAAA) at the time FMLA Leave is to commence, such as a serious medical complication arising during the daughter's pregnancy.
3) **Parent:** a biological, adoptive, step or foster father or mother, or any other individual who stood in loco parentis to the employee when the employee was a child. FMLA Leave is not provided to care for parents-in-law.

f. **A Key Employee** under FMLA is a salaried FMLA Leave eligible employee who is among the highest paid 10 percent of all TTUHSCEP employees.

g. **Qualifying reasons for FMLA Leave** include:

1) the birth of a child and to care for or bond with the newborn child within one year of birth,

2) the placement with the employee of a child for adoption or foster care and to care for or bond with the newly placed child within one year of placement,

3) to care for the employee’s spouse, son or daughter, or parent who has a serious health condition,

4) the serious health condition of the employee that makes the employee unable to perform any of the essential functions of his or her job,

5) a qualifying exigency arising out of the fact that the eligible employee’s spouse, son, daughter, or parent is a military member called to or on covered active duty, and

6) to care for a service member with a serious injury or illness when the employee is the spouse, son, daughter, parent, or next of kin of the service member.

h. **A serious health condition** is an illness, injury, impairment, or physical or mental condition that involves inpatient care or continuing treatment by a health care provider and for which the employee is unable to perform the essential functions of the job or the family member is unable to work, attend school, and perform other regular daily activities.

Unless qualifying complications arise, common ailments such as colds, flu, ear aches, upset stomach or minor ulcer flare-ups, headaches (other than migraine), routine dental, orthodontia or periodontal disease, cosmetic treatments, or plastic surgery do not qualify for FMLA Leave.

The most common serious health conditions that qualify for FMLA Leave include:

1) conditions requiring an overnight stay in a hospital, hospice, or residential medical care facility and any subsequent treatment provided in connection with such inpatient care.

2) conditions that incapacitate the employee or the employee’s qualified family member for more than three consecutive, full calendar days and require ongoing medical treatment that includes in-person treatment by a health care provider in the first seven days of incapacity, and at least one more in-person treatment by a health care provider within 30 days or an ongoing regimen of continuing treatment under the supervision of the health care provider. The ongoing regimen of treatment includes, for example, a course of prescription medication or therapy requiring special equipment.
3) chronic conditions that cause occasional periods when the employee or qualified family member is incapacitated and require treatment at least twice a year by a health care provider or a nurse under the direct supervision of a health care provider. Examples of chronic conditions that may meet the FMLA definition of a serious health condition include asthma, diabetes, epilepsy, and migraine headaches.

4) permanent or long-term conditions for which treatment may not be effective but require continuing supervision by a health care provider.

5) any period of incapacity due to pregnancy or for prenatal care including absences occasioned by complications such as severe morning sickness or medically required bed rest qualify even though the employee or the covered family member does not receive treatment from a health care provider during the absence and if the absence does not last more than three consecutive full calendar days.

6) any period of absence to receive multiple treatments by a health care provider for restorative surgery after an accident or injury.

7) qualified treatment for substance abuse excluding any absences because of the employee’s use of the substance. An employee may take FMLA Leave to care for a covered family member who is receiving treatment for substance abuse and the employer may not take action against the employee for such leave.

i. A health care provider is one of the following who is authorized to practice by a state or, outside the United States, by the provider’s country, and who is working within the scope of his or her practice: a doctor of medicine or osteopathy, a podiatrist, dentist, clinical psychologist, optometrist, chiropractor (with limited scope), a nurse practitioner, nurse-midwife, clinical social worker, physician assistant, a Christian Science Practitioner listed with the First Church of Christ, Scientist in Boston, Massachusetts, or any health care provider from whom the group health plan benefits manager will accept a medical certification.

j. The 12-month FMLA Leave period is the 12 months measured forward from the first day FMLA Leave was taken.

k. Intermittent and reduced schedule FMLA Leave is leave that is interspersed during the leave period in which the employee continues to work or that reduces the scheduled hours worked.

l. FMLA Leave for qualifying exigency is available when the eligible employee’s spouse, son, daughter, or parent is on covered active duty or is called to covered active duty (deployment to a foreign country) under a federal order. State calls to active duty are covered only if ordered by the President of the United States.

m. FMLA Leave for a military caregiver up to 26 workweeks in a 12-month period is available for an eligible employee who is the spouse, parent, son, daughter, or next of kin of a covered service member with a serious health condition. Next of kin is the service member’s nearest blood relative other than the service member’s spouse, son or daughter, or parent.

1) A covered service member is either:
   - A current member of the Armed Forces of the United States, including a member of the National Guard or Reserves who has a serious injury or
illness for which he or she is undergoing medical treatment, recuperation, or therapy, is in outpatient status, or is on the temporary disability retired list for a serious injury or illness.

- A veteran who is undergoing medical treatment, recuperation, or therapy for a serious injury or illness and who was a member of the Armed Forces of the United States including the National Guard or Reserves, and was discharged or released under conditions other than dishonorable at any time during the period of five years preceding the date on which the employee first takes leave to care for the veteran (the five year period).

2) A serious injury or illness for a covered service member is an injury or illness incurred in the line of duty on active duty that may render the service member medically unfit to perform the duties of his or her office, grade, rank, or rating, or an injury or illness that existed before the beginning of the service member’s active duty and was aggravated by service in line of duty on active duty in the Armed Forces that may render the member medically unfit to perform the duties of his or office, grade, rank, or rating.

3) A Veteran’s serious injury or illness is an injury or illness incurred in the line of duty on active duty that has manifested itself before or after the service member became a veteran and that is:

- A continuation of a serious injury or illness that was incurred or aggravated when the veteran was a member of the Armed Forces and rendered the service member unable to perform the duties of the service member's office, grade, rank, or rating.
- A physical or mental condition for which the veteran has received a U.S. Department of Veteran’s Affairs Service-Related Disability Rating of 50 percent or greater and the VASRD rating is based, in whole or in part, on the condition precipitating the need for military caregiver leave.
- A physical or mental condition that subsequently impairs the veteran’s ability to work because of a disability or disabilities related to military service, or would do so absent treatment.
- An injury that is the basis of the veteran’s enrollment in the Department of Veterans Affairs Program of Comprehensive Assistance for Family Caregivers.
- An injury or illness that existed before the beginning of the member’s active duty and was aggravated by service in line of duty on active duty in the Armed Forces that otherwise meets any of the definitions above.

4) Service member FMLA Leave runs concurrent with other leave entitlements provided under federal, state and local law.

n. HR Leave Administrator is the individual(s) designated by the Executive Director for Human Resources to manage the FMLA Leave processes.

o. Texas Parental Leave for up to 12 weeks of unpaid, protected leave for the birth of a child, or the adoption or foster care placement of a child under age of three (see the Texas Government Code for Parental Leave) may be granted when the employee is not eligible for FMLA Leave.

2. Notice of Need for FMLA Leave

a. An employee absent from work seeking Family and Medical Leave should provide notice as soon as possible by applying online (click here for online form) or completing the TTUHSCEP OP 70.32a, Application for Family and Medical Leave or contact TTUHSCEP
Human Resource Leave Administrator by email at ELPHRleaveadmin@ttuhsc.edu or his or her supervisor. At least 30 days advance notice is required if the need for leave is foreseeable.

b. Supervisors or administrative staff who are contacted by an employee or a representative of the employee and informed of the employee’s need for FMLA Leave or who become aware that an employee’s absence may be for an FMLA-qualifying reason should immediately contact the HR Leave Administrator via email at ELPHRleaveadmin@ttuhsc.edu

Note: The employee’s absences due to an FMLA-qualifying reason may be protected during the notice period even though the employee has not yet been designated for FMLA Leave.

3. Notice of Eligibility

a. The HR Leave Administrator will determine the employee’s eligibility and provide the employee with written Notice of Eligibility within five business days, absent extenuating circumstances, from the date the employee gave notice of the need for FMLA Leave.

b. In the event the employee is not eligible for FMLA Leave the written Notice of Eligibility will provide the employee the reason why the employee was not eligible.

c. Notice of Eligibility will be mailed to the employee’s home address of record and emailed to the employee’s TTUHSCEP email address and/or to other locations if needed based on the employee’s special circumstances. The employee’s supervisor, local HR office, and other offices as appropriate will be provided information regarding the Notice of Eligibility.

4. Rights and Responsibilities Notice (TTUHSCEP OP 70.32b)

The HR Leave Administrator will provide the employee written notice detailing the specific expectations and obligations of the employee regarding FMLA Leave and stating the consequences to the employee of not meeting the responsibilities. The Rights and Responsibilities Notice will be included in the Notice of Eligibility. Failure of the employee to meet his or her responsibilities in applying for and taking FMLA Leave may result in delay, denial, or loss of FMLA Leave protections.

5. A Certification of Health Care Provider (CHCP)

a. TTUHSCEP requires an employee seeking FMLA Leave for his or her own serious health condition or that of a qualified family member to provide the HR Leave Administrator with a complete and sufficient certification issued by the health care provider.

b. The HR Leave Administrator will provide the eligible employee with the appropriate CHCP form at the time the employee is provided the Notice of Eligibility or the employee may obtain the appropriate CHCP form:
   TTUHSCEP OP 70.32c, Certification of Health Care Provider for Employee’s Serious Health Condition
   TTUHSCEP OP 70.32d, Certification of Health Care Provider for Family Member’s Serious Health Condition
   TTUHSCEP OP 70.32e, Certification of Qualifying Exigency for Military Family Leave
   TTUHSCEP OP 70.32f, Certification for Serious Injury or Illness of a Current Service Member Military Family Leave
   TTUHSCEP OP 70.32g, Certification for Serious Injury or Illness of a Veteran for Military Caregiver Leave
c. In cases where the employee is seeking FMLA Leave for his or her own serious health condition, the employee is required to present to the health care provider a copy of the employee's Position Description in order for the health care provider to adequately assess and describe how and to the degree the employee’s serious health condition may limit the employee's ability to perform one or more of the essential functions of his or her job.

d. A CHCP will not be requested or required for FMLA Leave to care for a healthy newborn child, or for placement with the employee of a child for adoption or foster care. A CHCP is required for the mother for the birth of the child.

e. The employee must provide the HR Leave Administrator with a complete and sufficient CHCP within 15 calendar days of the employee’s receipt of the Notice of Eligibility. The employee should contact the HR Leave Administrator when circumstances arise that prevent the employee from providing the CHCP timely. Additional time to provide the CHCP may be granted for extenuating circumstances. Failure of the employee to provide the HR Leave Administrator with a complete and sufficient CHCP may result in delay, denial, or loss of FMLA Leave.

f. TTUHSCEP treats the employee's FMLA Leave related medical information, protected health information, and CHCP as a confidential medical record.

g. The employee is responsible for any costs or expense of obtaining and providing the CHCP.

h. Only the HR Leave Administrator may contact the health care provider for the purpose of clarification and authentication of the CHCP.

i. Under no circumstances may the employee’s supervisor or department administrative staff contact the health care provider regarding the employee’s FMLA Leave or CHCP.

j. At its own expense, TTUHSCEP may request a second CHCP from a provider other than the one used by the employee. If the first and second opinion differ a third and binding opinion may be required at the employer’s expense. The third health care provider must be approved jointly by the employer and employee. Copies of the second and or third CHCPs will be provided to the employee within five business days, absent extenuating circumstances.

k. TTUHSCEP may request recertification for FMLA Leave taken by the employee when circumstances described in the original CHCP have changed significantly, when there is doubt of the validity of the information provided by the employee, once every 30 days, or when the minimum duration of the leave exceeds 30 days. The recertification is at the employee’s expense.

6. **Documentation of relationship**

   TTUHSCEP may require the employee giving notice of the need for FMLA Leave to provide documentation of family relationship. Such documents may include marriage licenses, birth certificates, court orders, foster care placement or adoption papers, etc. Failure to provide sufficient documentation may result in delay, denial, or loss of FMLA Leave.

7. **Documentation of qualifying exigency**
TTUHSCEP may require documentation of qualifying exigency. Documentation may include military orders, letters from superior officers, or other documentation providing the nature and dates of military service associated with the need for leave. Failure to provide sufficient documentation may result in delay, denial, or loss of FMLA Leave.

8. **Designation of FMLA Leave**
   
a. Within five business days, absent extenuating circumstances, of receiving the required documents and information needed to determine if the leave requested qualifies for FMLA Leave, the HR Leave Administrator will provide the employee with a written Designation Notice indicating if the employee is approved or denied for FMLA Leave. If approved, the employee will be provided with additional information such as the start date and end date of the leave and whether or not a TTUHSCEP OP 70.32i, Intermittent FMLA Leave Time Record or a TTUHSCEP OP 70.32h, Return-to-Work/Fitness-for-Duty certification will be required.
   
b. The Designation Notice along with appropriate forms will be mailed to the employee's home address of record, emailed to the employee’s TTUHSCEP email address, and/or to other locations if needed based on the employee's special circumstances. The employee's supervisor, Human Resources office, and other offices as appropriate will be provided certain Designation information.
   
c. An employee designated for FMLA Leave need not submit interim status or intent to return to work notices to the department during the designated leave period.
   
d. Changes in the employee’s or family member’s serious health condition or FMLA Leave-qualifying reason must be communicated timely to the HR Leave Administrator and may result in revised or additional designations.

9. **FMLA Leave General Provisions**
   
a. **Protected Activity:** It is unlawful to discourage, refuse, interfere with, restrain, or deny the exercise of any right provided under federal or State FMLA regulations or by this policy or to discharge from employment or in any way discriminate against any individual because the individual opposed or complained about any unlawful practice under the FMLA, filed a charge, gave information, or testified in connection with any inquiry or proceeding related to FMLA.
   
b. **Good Faith Participation:** An employee is required to participate in the FMLA Leave benefit in good faith including in all communications, giving of notice, making application, providing documentation, in taking leave for the purpose it was approved, in receiving paid leave and benefits, and in returning to work. Failure to demonstrate good faith may result in delay, denial, or loss of FMLA Leave benefits and in corrective action up to and including termination.

   Supervisors must not allow employees who have been designated for FMLA Leave who are absent from work for the FMLA-qualifying reason to receive regular pay or to make up work time missed due to FMLA Leave. Such practices may violate State laws and HSCEP policies and may subject supervisors and employees to corrective action up to and including termination.
   
c. **FMLA Leave for employees absent due to work related injuries or illness:** Eligible employees who are absent from work due to a work related injury or illness which meets the FMLA criteria may be designated for FMLA Leave. (TTUHSCEP OP 70.13, Worker's Compensation Insurance)
d. **Return-to-Work/Fitness-for-Duty Certification**: TTUHSCEP requires an OP 70.32h, Return-to-Work/Fitness-for-Duty certification form from the employee’s health care provider in order for the employee who has been on FMLA Leave for his or her own serious health condition to return to work. This form will be provided to the employee with the Designation Notice. In addition to the form, the employee should provide their health care provider a copy of their Position Description. The employee is responsible for any costs or expense of obtaining and providing the Return-to-Work/Fitness-for-Duty certification.

The completed form must be returned to the HR Leave Administrator at least two days prior to the employee’s intended return date.

An employee designated for intermittent FMLA Leave may also be required to provide a Return-to-Work/Fitness-for-Duty certification when reasonable safety concerns exist regarding the employee’s ability to perform his or her duties.

e. **Pay during FMLA Leave**: The supervisor of the employee on FMLA Leave shall ensure that the employee uses and is paid applicable accrued leaves, if any, for work time missed by the employee while on FMLA Leave. It is essential that the employee’s supervisor or designee gives timely notice to payroll to ensure the employee is paid on time.

f. **Working during FMLA Leave**: Employees, other than those designated for intermittent or reduced schedule FMLA Leaves, should not perform their job duties while on FMLA Leave. Supervisors must not request or permit such employees who are on FMLA Leave to perform job duties or to work from home or other locations.

g. **Benefit Coverage**: TTUHSCEP will maintain an employee’s health benefit coverage for the duration of the FMLA Leave at the same level and under the same terms and conditions that coverage would have been provided if the employee had not been on FMLA Leave.

1) Any premiums normally due from the employee should be paid by the employee during the FMLA Leave period. An employee considering or designated for FMLA Leave should consult with Human Resources – Benefits and Payroll for additional benefits and pay guidance.

2) Within 30 calendar days from FMLA Leave, the employee may reinstate all benefit coverages which were reduced or canceled during the FMLA Leave period that were in effect immediately prior to the designation of FMLA Leave. Such reinstatement will be without penalty, pre-existing conditions, and without proof of insurability.

3) TTUHSCEP may recover from the employee or former employee any premiums it paid on behalf of the employee during the employee’s FMLA Leave and any premiums it paid for its share of the employee’s benefits due to the employee failing to return from FMLA Leave for reasons other than those beyond the employee’s control related to the serious medical condition for which FMLA Leave was designated.

h. **Intermittent FMLA Leave Time Record**: An employee designated for intermittent FMLA Leave must record any work time missed due to FMLA-qualifying reason on the TTUHSCEP OP 70.32i, Intermittent FMLA Leave Time Record, sign and submit the Record to his or her supervisor by the 10th of the following month or as requested. The supervisor reviews, approves, signs, and emails the Record to the HR Leave
Administrator by the 10th of the following month. FMLA Leave may be cancelled if complete Records are not provided timely to the HR Leave Administrator.

i. **Both Spouses:** If the spouse of the employee also works for a State agency, the spouses are limited to a combined total of 12 workweeks FMLA Leave per 12 month period for the birth of a son or daughter and bonding with the newborn child, the placement of a son or daughter with the employee for adoption or foster care and bonding with the newly-placed child and the care of a parent with a serious health condition. Such spouses are entitled to 12 workweeks each for their own serious health conditions, to care for a spouse, son or daughter with a serious health condition or a qualifying exigency arising out of the employee’s spouse, son, daughter or parent is a military member on covered active duty.

j. **Use of accrued leave benefits:** TTUHSCEP requires employees on FMLA Leave, other than those on Worker’s Compensation to use appropriate accrued leave for any work time missed due to FMLA Leave. Sick leave may be used for FMLA Leave only for worktime missed related to designated serious health conditions. ([TTUHSCEP OP 70.01, Leaves of Absence](#))

k. **FMLA Leave without Pay:** The employee on FMLA Leave who exhausts all applicable accrued leave prior to the expiration of the FMLA Leave must be placed by the supervisor or designee on FMLA Leave without Pay using the Texas Tech Leave With/Out Pay form ([TTUHSCEP OP 70.01, Leaves of Absence](#)) for the remainder of the employee’s FMLA Leave. Failure to change the employee’s status timely could result in loss of health benefits coverage for the employee, or for exempt employees, overpayment of wages.

l. The FMLA Leave without Pay status must be revised by the supervisor or designee when the employee returns to work or at the conclusion of FMLA Leave by completing a [TTUHSCEP OP 70.01d, Leave With/Out Pay](#) form and submitting to Human Resources at ELPHRleaveadmin@ttuhsc.edu

m. **State Service Accrual:** Employees on FMLA Leave are not entitled to accrue State service credit for any full calendar month(s) of FMLA Leave without Pay and shall not accrue leave for such months.

10. **Job Restoration**

Upon return from FMLA Leave, an employee (other than a Key Employee) is entitled to be restored to the position held when the Leave began or to an equivalent position with equivalent duties, benefits, pay, and other terms and conditions.

11. **Return to work from FMLA Leave**

a. Employees returning to work from FMLA Leave must contact the HR Leave Administrator and the employee’s supervisor at least five days prior to the intended date of return.

b. For employees returning to work, the employee must provide the HR Leave Administrator and his or her supervisor with a [TTUHSCEP OP 70.32h, Notice of Return to Work/Fitness for Duty form](#) or a document from his or her attending physician and the employee should return to work on the date specified.

c. In cases where the employee’s health care provider certifies that the employee may return to work with restrictions, the HR Leave Administrator will initiate an administrative review of the employee’s return to work. The review may involve the employee, the supervisor, Human Resources, and other parties as appropriate. The HR Leave Administrator
Administrator will notify the employee of the decision of whether the employee’s restrictions can be accommodated.

d. An employee cleared by the HR Leave Administrator to return to work with restrictions should report to his or her department as scheduled and should comply with the restrictions and accommodations for the duration of the restrictions. The employee and supervisor should consult with Human Resources if complications arise with the employee assuming or performing work duties.

e. Employees with restrictions whose restrictions cannot be accommodated will remain on FMLA Leave, if available, and may be provided with revised Designation by the HR Leave Administrator.

12. Conclusion of FMLA Leave

a. FMLA Leave is concluded on the date specified by the HR Leave Administrator for the employee to return to work from FMLA Leave or upon the designated expiration date of the FMLA Leave.

b. Upon expiration of FMLA Leave, an employee requiring additional leave for the serious health condition for which the FMLA Leave was granted may request the HR Leave Administrator his or her supervisor for additional leave consideration using appropriate accrued leaves and other leave benefits in compliance with HSCEP leave policies.

c. If the employee is unable to return to work from FMLA Leave and appropriate accrued leave is exhausted the department may request to place the employee on leave without pay (TTUHSCEP OP 70.01, Leaves of Absence) or request termination of the employee (TTUHSCEP OP 70.31, Employee Conduct, Coaching, Corrective Action and Separation from Employment).

13. Right to Change Policy

TTUHSCEP reserves the right to interpret, change, modify, amend, or rescind this policy in whole or in part at any time without notice to or the consent of employees.

Attachments:

Attachment A: Application for Family and Medical Leave
Attachment B: Employee Rights and Responsibilities
Attachment C: Certification of Health Care Provider for Employee’s Serious Health Condition
Attachment D: Certification of Health Care Provider for Family Member’s Serious Health Condition
Attachment E: Certification of Qualifying Exigency for Military Family Leave
Attachment F: Certification for Serious Injury or Illness of a Current Service Member Military Family Leave
Attachment G: Certification for Serious Injury or Illness of a Veteran for Military Caregiver Leave
Attachment H: Return to Work/Fitness For Duty Form
Attachment I: Intermittent FMLA Leave Time Record
Attachment J: Sick Leave Pool Form Physician

References:

Texas Government Code, Chapter 661
TTUHSCEP OP 70.01, Leaves of Absence