

## **Exempt Quantity Select Agent Laboratory Self-Inspection Checklist**

A Self-Inspection should be completed at least once every three months. Please answer yes "Y" or no "N" to each of the points below. If you answer "N" to any of the questions, please review TTUHSCEP OP73.12 and call Safety Services for any questions. Retain a record of this self-inspection for at least one year following completion of all toxin involved research.

Principal Investigator (print name): \_\_\_\_\_

Inspection conducted by: (print name): \_\_\_\_\_

Date: \_\_\_\_\_ IBC Protocol No(s): \_\_\_\_\_

Laboratory Room(s): \_\_\_\_\_

Exempt Quantity SA Toxin(s): \_\_\_\_\_

### **Procurement**

- Have you assured that exempt quantity toxin inventory will allow the purchase of additional toxin without exceeding the permissible quantity limit?
- Have you submitted an electronic purchase order and received procurement approval for the exempt quantity toxin from Safety Services?
- Does your IBC protocol authorize procurement of the toxin?
- Is your IBC protocol up-to-date?
- Have you assured that no purchases of HHS listed toxins are made using a procurement (credit) card?

### **Personnel and Training**

- Have laboratory personnel completed all required laboratory training?
- Have laboratory personnel who will be handling or using the HHS listed toxin received laboratory specific training regarding the safe handling and use of the specific toxin(s)?
- Is documentation of training for all laboratory personnel available?
- Is your IBC protocol accurate and up-to-date concerning laboratory personnel?
- Are all appropriate items of personal protective equipment provided and appropriate engineering controls in place (i.e. protective gloves, goggles, biosafety cabinets, etc.)?

### **Inventory, Disposal, and Transfer**

- Have all waste products potentially containing exempt quantity toxins been properly inactivated prior to disposal?
- Is every procurement, use, disposal, and transfer documented in the Inventory Record (Attachment C)?
- Does the amount of toxin indicated on the inventory match what is found in the stocks of material regardless of form, concentration, or type?
- Does the inventory include the following:
  - Beginning balance of material?
  - IBC protocol number?
  - Dates and quantities of receipt, dispensing, aliquot, and/or distribution/disposal necessary to monitor current balances?
- While transporting exempt toxins from room-to-room or from storage area to usage area and return, are only authorized personnel involved in the transport?
- Is the exempt quantity toxin transported in a secondary container with proper labeling as described in the OP?
- Toxins are NOT transported at any time by personal vehicle?

### **Storage, Use, and Security**

- Are doors entering the laboratory clearly labeled as “Authorized Personnel Only?”
- Have security background checks been completed prior to employment of ALL laboratory workers?
- Are stocks of exempt quantity toxins secured with at least two additional layers of physical security within the laboratory as prescribed by this OP
- Do the additional layers of security remain locked when laboratory personnel are not present?
- Do ALL laboratory personnel wear visible TTUHSCEP ID badges?
- Are visitors to the lab (including maintenance and housekeeping staff) required to sign in upon entering the laboratory?
- Have written SOP’s for the safe use, storage, disposal, and security of exempt quantity toxins been developed and implemented?
- Is there a list of authorized laboratory personnel posted inside the laboratory, on or near the laboratory door?

Are there procedures in place for the reporting and investigating of inventory discrepancies and for reconciling the differences?

There is absolutely NO MORE THAN permissible quantity of toxin(s) as defined by HHS in my laboratory's possession (see Table 1 TTUHSCEP OP 73.12).

I have not transferred any HHS listed toxin to any individual within TTUHSCEP without TTUHSCEP approval nor have I transferred any toxin to any individual or entity outside of TTUHSCEP.

Principal Investigator: \_\_\_\_\_  
Signature

Inspector (if different than principal investigator): \_\_\_\_\_  
Signature